



## PART B - FEE(S) TRANSMITTAL

Complete and send this form, together with applicable fee(s), to: **Mail** Mail Stop ISSUE FEE  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, Virginia 22313-1450  
or **Fax** (703) 746-4000

INSTRUCTIONS: This form should be used for transmitting the ISSUE FEE and PUBLICATION FEE (if required). Blocks 1 through 4 should be completed where appropriate. All further correspondence including the Patent, advance orders and notification of maintenance fees will be mailed to the current correspondence address as indicated unless corrected below or directed otherwise in Block 1, by (a) specifying a new correspondence address; and/or (b) indicating a separate "FEE ADDRESS" for maintenance fee notifications.

CURRENT CORRESPONDENCE ADDRESS (Note: Legibly mark-up with any corrections or use Block 1)

7590 10/21/2003

Slater & Matsil, L.L.P.  
17950 Preston Rd.  
Suite 1000  
Dallas, TX 75252-5793

Note: A certificate of mailing can only be used for domestic mailings of the Fee(s) Transmittal. This certificate cannot be used for any other accompanying papers. Each additional paper, such as an assignment or formal drawing, must have its own certificate of mailing or transmission.

## Certificate of Mailing or Transmission

I hereby certify that this Fee(s) Transmittal is being deposited with the United States Postal Service with sufficient postage for first class mail in an envelope addressed to the Mail Stop ISSUE FEE address above, or being facsimile transmitted to the USPTO, on the date indicated below.

Julie Russell (Depositor's name)  
[Signature] (Signature)  
January 16, 2004 (Date)

APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
09/855,894	05/15/2001	Xian J. Ning	2001 P 08586 US	1144

TITLE OF INVENTION: SINGLE RIE PROCESS FOR MIMCAP TOP AND BOTTOM PLATES

APPLN. TYPE	SMALL ENTITY	ISSUE FEE	PUBLICATION FEE	TOTAL FEE(S) DUE	DATE DUE
nonprovisional	NO	\$1330	\$300	\$1630	01/21/2004

EXAMINER	ART UNIT	CLASS-SUBCLASS
UMEZ ERONINI, LYNETTE T	1765	438-694000

1. Change of correspondence address or indication of "Fee Address" (37 CFR 1.363).

☐ Change of correspondence address (or Change of Correspondence Address form PTO/SB/122) attached.

☒ "Fee Address" indication (or "Fee Address" Indication form PTO/SB/47; Rev 03-02 or more recent) attached. Use of a Customer Number is required.

2. For printing on the patent front page, list (1) the names of up to 3 registered patent attorneys or agents OR, alternatively, (2) the name of a single firm (having as a member a registered attorney or agent) and the names of up to 2 registered patent attorneys or agents. If no name is listed, no name will be printed.

1 Slater & Matsil, L.L.P.

2

3

3. ASSIGNEE NAME AND RESIDENCE DATA TO BE PRINTED ON THE PATENT (print or type)

PLEASE NOTE: Unless an assignee is identified below, no assignee data will appear on the patent. Inclusion of assignee data is only appropriate when an assignment has been previously submitted to the USPTO or is being submitted under separate cover. Completion of this form is NOT a substitute for filing an assignment.

(A) NAME OF ASSIGNEE

(B) RESIDENCE: (CITY and STATE OR COUNTRY)

Infineon Technologies AG

Munich, Germany

Please check the appropriate assignee category or categories (will not be printed on the patent); ☐ individual ☒ corporation or other private group entity ☐ government

4a. The following fee(s) are enclosed:

☒ Issue Fee

☒ Publication Fee

☐ Advance Order - # of Copies

4b. Payment of Fee(s):

☐ A check in the amount of the fee(s) is enclosed.

☐ Payment by credit card. Form PTO-2038 is attached.

☒ The Director is hereby authorized by charge the required fee(s), or credit any overpayment, to Deposit Account Number 50-1065 (enclose an extra copy of this form).

Director for Patents is requested to apply the Issue Fee and Publication Fee (if any) or to re-apply any previously paid issue fee to the application identified above.

(Authorized Signature)

(Date)

Tracy S. Matsil

January 16, 2004

NOTE: The Issue Fee and Publication Fee (if required) will not be accepted from anyone other than the applicant; a registered attorney or agent; or the assignee or other party in interest as shown by the records of the United States Patent and Trademark Office.

This collection of information is required by 37 CFR 1.311. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, Alexandria, Virginia 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, Alexandria, Virginia 22313-1450.

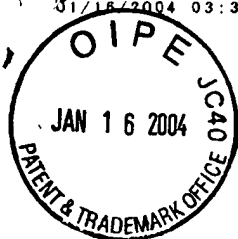
Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

01/20/2004 RHEBRAH1 00000078 501065 09855894

01 FC:1501  
02 FC:1504

1330.00 DA  
300.00 DA

TRANSMIT THIS FORM WITH FEE(S)



# Slater & Matsil, L.L.P.

Suite 1000  
17950 Preston Road  
Dallas, Texas 75252  
Phone: 972-732-1001 Facsimile: 972-732-9218

## FACSIMILE TRANSMITTAL SHEET

\*\*\* THE ACCOMPANYING MATERIAL MAY BE PRIVILEGED AND CONFIDENTIAL. IT IS INTENDED FOR THE SOLE USE OF THE NAMED RECIPIENT. PLEASE DELIVER PROMPTLY TO THE NAMED RECIPIENT ONLY. OTHERWISE, PLEASE TELEPHONE THE SENDER FOR INSTRUCTIONS. \*\*\*

**To:** Commissioner for Patents  
Mail Stop: Issue Fee  
**Fax:** (703) 746-4000  
**Phone:** (703) 305-8283

**From:** Ira Matsil  
**Date:** January 16, 2004  
**Pages:** 4

**Applicant:** Ning  
**Serial No.:** 09/855,894  
**Filed:** May 15, 2001  
**For:** Single RIE Process for MIMCAP Top and Bottom Plates

**Docket No:** 2001 P 08586 US  
**Art Unit:** 1765  
**Examiner:** Umez Eronini, Lynette T.

### Certificate of Transmission under 37 C.F.R. § 1.8

I hereby certify that the following correspondence is being transmitted via facsimile to the Patent and Trademark Office on January 16, 2004.

Facsimile Cover Sheet with Certificate of Transmission (1 page)  
Part B – Fee(s) Transmittal (2 duplicate pages)  
Fee Address Indication Form (1 page)

Signature

Julie Russell

Name of Person Signing the Certificate